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9-7-2001

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FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO.
		RIC00016	09/766,943
<p>LIST OF DOCUMENTS CITED BY APPLICANT <i>(Use several sheets if necessary)</i></p>			
<p>APPLICANT(S): GALLANT et al.</p>			
FILING DATE January 22, 2001		GROUP 2661	

U.S. PATENT DOCUMENTS

* EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
JNM	5,473,679	12/1995	La Porta et al.			
	5,825,780	10/1998	Christie			
	5,819,019	10/1998	Nelson			
	5,946,323	08/1999	Eakins et al.			
	5,991,892	11/1999	Honda			
	5,996,001	11/1999	Quarles et al.			
	6,009,099	12/1999	Lewis et al.			
	6,134,673	10/2000	Chrabaszcz			
	6,169,735	01/2001	Allen, Jr. et al.			
	6,185,219	02/2001	Christie			
	6,195,714	02/2001	Li et al.			

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (*Including Author, Title, Date, Pertinent Pages, Etc.*)

EXAMINER

On N More

DATE CONSIDERED

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EX-3.11.1.2. Initial reference considered, whether or not citation is in conformance with MR-11-003, Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO.
I P E MAY 14 2004 U. S. TRADEMARK OFFICE SEARCHED		RIC00016	09/766,943
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT(S): Gallant et al.	
		FILING DATE	GROUP
		1/22/2001	2661

U.S. PATENT DOCUMENTS

* EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
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GNM	5,889,782	03/1999	Dendi			
	6,026,091	02/2000	Christie et al.			
	6,115,380	09/2000	Christie et al.			
	6,181,703	01/2001	Christie et al.			
	6,314,103	11/2001	Medhat et al.			
	6,381,246	04/2002	Constantinof et al.			
	6,424,652	07/2002	Christie			
	6,483,837	11/2002	Howell et al.			
	6,490,273	12/2002	DeNap et al.			
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	6,253,207	06/2001	Malek et al.			
	6,614,781	09/2003	Elliott et al.			
	6,643,258	11/2003	Ise et al.			

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER	<i>John D. Moore</i>	DATE CONSIDERED
		<i>10-17-05</i>

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1-26-05

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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Electronic Version v18
Stylesheet Version v18.0

JAN 26 2005

Technology Center 2000

Title of Invention	Intelligent policy server system and method for bandwidth control in an ATM network
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Application Number: 09/766943



Confirmation Number: 3388

First Named Applicant: John Gallant

Attorney Docket Number: RIC00016

Art Unit: 2661

Examiner: Ian Moore

Search string: (6822961 or 6757285 or 6757278 or 6731627
or 6704327 or 6563816 or 6535507 or 6324179
or 6169735 or 6141322 or 20040179531 or
20040081174).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1	6822961	2004-11-23		Constantinof et al.			
2	6757285	2004-06-29		Lakhani et al.			
3	6757278	2004-06-29		Bi et al.			
4	6731627	2004-05-04		Gupta et al.			
5	6704327	2004-03-09		Gardner et al.			
6	6563816	2003-05-13		Nodoushami et al.			

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1-26-05

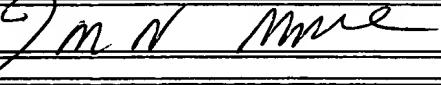
7	6535507	2003-03-18	Li et al.
8	6324179	2001-11-27	Doshi et al.
9	6169735	2001-01-02	Allen Jr. et al.
10	6141322	2000-10-31	Poretsky

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
gn	1	20040179531	2004-09-16	Bi et al.			
gn	2	20040081174	2004-04-29	Lakhani et al.			

Signature

Examiner Name	Date
	10-17-05

1-26-05
1 of 1

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	6	6563816	2003-05-13	Nodushami et al.			
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	9	6169735	2001-01-02	Allen Jr. et al.			
	10	6141322	2000-10-31	Poretsky			

US Published Applications

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1-26-05
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init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
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	2	20040081174	2004-04-29	Lakhani et al.			

Signature

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